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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kuo-Chuan Liu, et al.

Serial No.: 10/807,605

Filed:

March 23, 2004

For:

Methods of Forming LaNiO<sub>3</sub>

Conductive Layers, Ferro-Electric Devices with LaNiO<sub>3</sub> Layers, and Precursor Formation Solutions

Art Unit:

1762

Examiner: Unknown

Atty. Dkt.: 02EK-105600

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8A)

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Sir:

The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56.

The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application, therefore, pursuant to 37 C.F.R. §1.97, no fee or certification is required.

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Date: June 11, 2004

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Tel: (415) 774-3203 Fax: (415) 434-3947 Respectfully submitted,

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## FORM PTO-1449 (Modified) ATTY. DOCKET NO. SERIAL NO. LIST OF PATENTS AND PUBLICATIONS FOR 10/807,605 02EK-105600 APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT APPLICANT: Kuo-Chuan Liu, et al. (Use several sheets if neg FILING DATE: **GROUP ART UNIT:** March 23, 2004 1762 REFERENCE DESIGNATION **PATENT DOCUMENTS DOCUMENT NUMBER** DATE Class Subclass Filing Date NAME EXAM'R If Appropriate INITIAL **A1 A2** FOREIGN PATENT DOCUMENTS **DOCUMENT** DATE COUNTRY **CLASS** FXAM'R **Subclass** TRANSLAT'N NUMBER INITIAL yes no **B1 B2** OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.) C1 K.M. Satyalakshmi, et al., "Epitaxial metallic LaNiO<sub>3</sub> thin films grown by pulsed laser deposition," Appl. Phys. Lett. 62:11 (1993) 1233–1235. C2 C.C. Yang, et al., "Preparation of (100)-oriented metallic LaNiO<sub>3</sub> thin films on Si substrates by radio frequency magnetron sputtering for the growth of textured Pb(Zr<sub>0.53</sub>Ti<sub>0.47</sub>)O<sub>3</sub>," Appl. Phys. Lett. 66:20 (1995) 2643–2645. Y.L. Tu, et al., "Synthesis and Electrical Characterization of Thin Films of PT and C3 PZT Made from a Diol-Based Sol-Gel Route," J. Am. Ceram. Soc. 79:2 (1996) 441-448. A. Li, et al., "Preparation of perovskite conductive LaNiO<sub>3</sub> films by metalorganic C4 decomposition," Appl. Phys. Lett. 68:10 (1996) 1347-1349. M.S. Chen, et al., "Effect of textured LaNiO<sub>3</sub> electrode on the fatigue improvement of C5 Pb(Zr<sub>0.53</sub>Ti<sub>0.47</sub>)O<sub>3</sub> thin films," Appl. Phys. Lett. 68:10 (1996) 1430-1432. T.F. Tseng, et al., "Effect of LaNiO<sub>3</sub>/Pt double layers on the characteristics of C6 $(Pb_xLa_{1-x})(Zr_vTi_{1-v})O_3$ thin films," Appl. Phys. Lett. 68:18 (1996) 2505–2510. A. Li, et al., "Preparation of epitaxial metallic LaNiO<sub>3</sub> films on SrTiO<sub>3</sub> by C7 metalorganic decomposition for the oriented growth of PbTiO<sub>3</sub>," Appl. Phys. Lett. 69:2 (1996) 161-163.

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE

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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						

EXAM'R		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
INITIAL							yes	no
·	B1							

OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.) T. Yu, et al., "Epitaxial Pb(Zr<sub>0.53</sub>Ti<sub>0.47</sub>)O<sub>3</sub>/LaNiO<sub>3</sub> heterostructures on single crystal C8 substrates," Appl. Phys. Lett. 69:14 (1996) 2092-2094. Y.L. Tu, et al., "Processing and characterization of Pb(Zr, Ti)O<sub>3</sub> films, up to 10 µm C9 thick, produced from a diol sol-gel route," J. Mater. Res. 11:10 (1996) 2556-2564. A. Li, et al., "Fabrication and electrical properties of sol-gel derived BaTiO<sub>3</sub> films C10 with metallic LaNiO<sub>3</sub> electrode," Appl. Phys. Lett. 70:12 (1997) 1616-1618. C. R. Cho, et al., "Solution deposition and heteroepitaxial crystallization of LaNiO<sub>3</sub> C11 electrodes for integrated ferroelectric devices," Appl. Phys. Lett. 71:20 (1997) 3013-3015. R. Kurchania, et al., "Synthesis of (Pb,La) (Zr,Ti)O<sub>3</sub> films using a diol based sol-gel C12 route," J. Mater. Sci. 33 (1998) 659-667. C.H. Lin, et al., "Domain structure and electrical properties of highly textured C13 PbZr<sub>x</sub>Ti<sub>1-x</sub>O<sub>3</sub> thin films grown on LaNiO<sub>3</sub>-electrode-buffered Si by metalorganic chemical vapor deposition," J. Mater. Res. 15:1 (2000) pp. 115-124. S.S. Kim, et al., "Structures and properties of (001)-oriented Pb(Zr,Ti)O<sub>3</sub> films on C14 LaNiO<sub>3</sub>/Si(001) substrates by pulsed laser deposition," J. Mater. Res. 15:12 (2000) 2881-2886. G.S. Wang, et al., "Properties of highly (100) oriented Ba<sub>0.9</sub>Sr<sub>0.1</sub>TiO<sub>3</sub>/LaNiO<sub>3</sub> C15 heterostructures prepared by chemical solution routes," Appl. Phys. Lett. 78:26 (2001) 4172-4174.

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